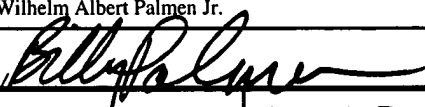
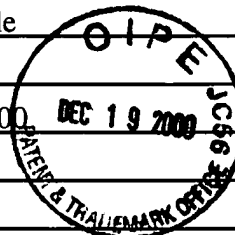


CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name	Wilhelm Albert Palmen Jr.		
Signature		Date	December 13, 2000
<b>REQUEST FOR CORRECTED FILING RECEIPT</b>  Address to: Assistant Commissioner for Patents Washington, D.C. 20231		Attorney Docket	OLIG-017CON
		First Named Inventor	Roderic M.K. Dale
		Application Number	09/669,033
		Filing Date	September 25, 2000
		Group Art Unit	1631
		Examiner Name	Unassigned
		Title: "METHOD FOR DETECTING NUCLEIC ACID SEQUENCES"	



Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):


(1) The title reads "Method for Detecting Nucleic Acid Sequence", but should read  
 --Method for Detecting Nucleic Acid Sequences--.

It is believed that the error was made by the U.S. Patent and Trademark Office since the first page of the Specification and the Application Cover Page indicates that the title is: "Method for Detecting Nucleic Acid Sequences". Copies of all of the above documents are attached.

It is therefore believed that no fee is due in connection with this request. If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815.

Respectfully submitted,  
 BOZICEVIC, FIELD & FRANCIS LLP

Date: December 13, 2000

By:   
 Diana L. DeVore  
 Registration No. 42,484

BOZICEVIC, FIELD & FRANCIS LLP  
 200 Middlefield Road, Suite 200  
 Menlo Park, CA 94025  
 Telephone: (650) 327-3400  
 Facsimile: (650) 327-3231



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/669,033	09/25/2000	1631	0	OLIG-017CON	9	9	3

Diane L Devore  
Bozicevic Field & Francis LLP  
285 Hamilton Avenue Suite 200  
Palo Alto, CA 94301



## FILING RECEIPT



\*OC000000005558348\*

Date Mailed: 11/15/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

✓ Roderic M K Dale, Wilsonville, OR ;

## Continuing Data as Claimed by Applicant

✓ THIS APPLICATION IS A CON OF 09/385,796 08/30/1999

## Foreign Applications

If Required, Foreign Filing License Granted 11/15/2000

## Title

Method for detecting nucleic acid sequences

## Preliminary Class

436

RECEIVED

NOV 22 2000

Bozicevic, Field &amp; Francis

Data entry by : WALLACE SR, PRESTON

Team : OIPE

Date: 11/15/2000





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/669,033	09/25/2000	Roderic M K Dale	OLIG-017CON

Diane L Devore  
Bozicevic Field & Francis LLP  
285 Hamilton Avenue Suite 200  
Palo Alto, CA 94301



## FORMALITIES LETTER



\*OC000000005558349\*

Date Mailed: 11/15/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

---

*A copy of this notice MUST be returned with the reply.*

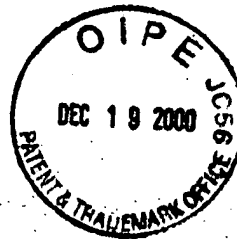
*gwl*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/21/2000 SDUONG 00000087 500815 09669033

01 FC:201 355.00 CH



File No. OLIG-017CON

Date Mailed: September 25, 2000

Application No. To Be Assigned

Atty/Sec: DLD:wap

Filed: Herewith (September 25, 2000)

EXPRESS MAIL LABEL NO. EL 563 386 315 US

Title: "METHOD FOR DETECTING NUCLEIC ACID SEQUENCES"

Inventor(s): Roderic M.K. Dale

Enclosure:

- ◆ Utility Patent Application Transmittal (2 pages)
- ◆ Fee Transmittal (1 page)
- ◆ Preliminary Amendment (4 pages)
- ◆ Application Cover Page (1 page)
- ◆ Specification (48 pages)
- ◆ Formal Drawings (9 sheets total)
- ◆ Copy of prior filed Declaration/Power of Attorney (2 pages)

*Copy of EXT. OF TIME  
For Patent appl.*

Acknowledge Receipt of enclosures  
By imprinting PTO Date Stamp and returning to  
addressee

JC813 U.S. PTO

09/669033



09/25/00

Section 8

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Typed or Printed Name      Wilhelm Albert Palmen Jr.

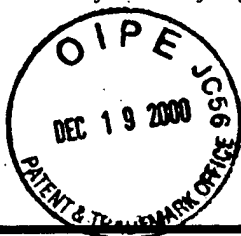
Signature

Date

December 13, 2000

**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)



Attorney Docket

OLIG-017CON

First Named Inventor

Roderic M.K. Dale

Application Number

09/669,033

Filing Date

September 25, 2000

Group Art Unit

1631

Examiner Name

Unassigned

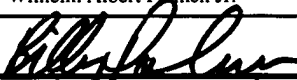
Title: "METHOD FOR DETECTING NUCLEIC ACID SEQUENCES"

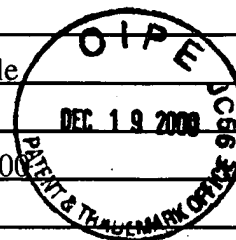
**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Issue Fee Transmittal (with copy of PTOL-85B)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Petition Routing Slip and Accompanying Petition	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Information Disclosure Statement, 1449 and <input type="checkbox"/> references	<input type="checkbox"/> To Convert a Provisional Application	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input checked="" type="checkbox"/> Request for a Corrected Filing Receipt (w/copy of incorrect Filing Receipt and a copy of the first page of the specification and the application cover page)	<input type="checkbox"/> -Return Postcard
<input checked="" type="checkbox"/> Communication regarding the Notice to File Missing Parts (with copy of Notice to File Missing Parts)	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> -A copy of the Application Transmittal as filed on 09/25/00
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> -A copy of the Fee Transmittal as filed on 09/25/00
	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> -A copy of the Executed combined Declaration/Power of Attorney as filed on 09/25/00
	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> -A copy of the PTO date stamped return postcard mailed on 09/25/00
	<input type="checkbox"/> After Allowance Communication to Group	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Individual Name	Dianna L. DeVore	Registration No.	42,484
Firm Name	BOZICEVIC, FIELD & FRANCIS LLP		
Signature			
Date	December 13, 2000		

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name	Wilhelm Albert Palmen Jr.		
Signature		Date	December 13, 2000
<b>COMMUNICATION FROM</b> <b>Dianna L. DeVore-42,484</b> <b>Regarding the</b> <b>Notice to File Missing Parts</b>  Address to: Assistant Commissioner for Patents Washington, D.C. 20231		Attorney Docket	OLIG-017CON
		First Named	Roderic M.K. Dale
		Application Number	09/669,033
		Filing Date	September 25, 2000
		Group Art Unit	1631
		Examiner Name	Unassigned
		Title: "METHOD FOR DETECTING NUCLEIC ACID SEQUENCES"	



Sir:

This communication is responsive to the Notice to File Missing Parts dated November 15, 2000.

On September 25, 2000, an application for a Continuation Patent Application was filed complete.

Transmitted therewith were the following documents (copies enclosed):

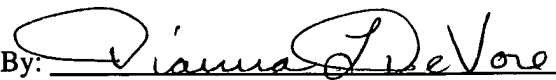
- 1) Utility Patent Application Transmittal indicating the Small Entity Status as claimed in parent application is still proper and desired;
- 2) Fee Transmittal authorizing the filing fee of \$345.00 to be charged to our deposit account; and
- 3) A copy of the executed combined Declaration/Power of Attorney as filed in Parent Application Serial No. 09/385,796.

The PTO date-stamped Return Postcard (copy enclosed) indicates that the above noted documents were received although the fees were not, as authorized, charged to our Deposit Account. Applicants hereby request that the Notice to File Missing Parts mailed on November 15, 2000 be rescinded, the appropriate fees be charged (\$355.00 reflecting the *current* basic filing fee as a small entity) and the application deemed complete.

If the Commissioner feels there are additional fees required, he is authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,  
 BOZICEVIC, FIELD & FRANCIS LLP

Date: December 13, 2000

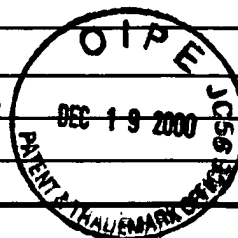
By:   
 Dianna L. DeVore  
 Registration No. 42,484

BOZICEVIC, FIELD & FRANCIS LLP  
 200 Middlefield Road, Suite 200  
 Menlo Park, CA 94025  
 Telephone: (650) 327-3400  
 Facsimile: (650) 327-3231  
 F:\DOCUMENT\OLIG\017con\Communication re Missing Parts.wpd

# FEE TRANSMITTAL

Note: Effective October 1, 1998.  
Patent fees are subject to annual revision.

Attorney Docket Number	OLIG-017CON
First Named Inventor	Eric M.K. Dale
Application Number	09/669,033
Filing Date	September 25, 2000
Group Art Unit	1631
Examiner Name	Unassigned



## METHOD OF PAYMENT

- ☐ A Check Is Enclosed
- ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.  
Deposit Account Name: Bozicevic, Field & Francis LLP

## FEE CALCULATION

### 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	710	201	355	Utility filing fee	\$355.00
102	320	206	160	Design filing fee	
104	490	207	245	Plant filing fee	
109	710	208	355	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$355.00

### 2. CLAIMS

No. of claims as filed or after amendment	Most claims previously paid	Extra claims	Fee from below	Fee Due
Total claims 9	20	0	9.00	\$0.00
Ind. claims 3	3	0	40.00	\$0.00
Multiple Dependent claims				

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of and over original patent

Subtotal (2) \$0.00

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath	
139	130	139	130	Non-English specification	
115	110	215	55	Ext. for reply within first month	
117	890	217	445	Ext. for reply within third month	
128	1,890	228	945	Ext. for reply within fifth month	
120	310	220	155	Filing brief in support of appeal	
140	110	240	55	Petition to revive - unavoidable	
142	1,240	242	620	Utility issue fee (or reissue)	
123	50	123	50	Petitions related to prov. appl.	
146	710	246	355	Filing submission after final rejection (37 CFR 1.129(a))	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
143	440	243	220	Design issue fee	
581	40	581	40	Recording patent assignment	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
147	2,520	147	2,520	Filing a request for reexamination	
116	390	216	195	Ext. for reply within second month	
118	1,390	218	695	Ext. for reply within fourth month	
119	310	219	155	Notice of Appeal	
121	270	221	135	Request for oral hearing	
141	1,240	241	620	Petition to revive - unintentional	
122	130	122	130	Petitions to the Commissioner	
126	180	126	180	Submission of IDS	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
144	600	244	300	Plant issue fee	
Other fee (specify)					

SUBTOTAL (3) \$0.00

TOTAL AMOUNT TO BE CHARGED (\$355.00)

### SUBMITTED BY

Typed or Printed Name	Dianna L. DeVore, BOZICEVIC, FIELD & FRANCIS LLP	Reg. Number	42,484
Signature	<i>Dianna L. DeVore</i>	Date	December 13, 2000
		Deposit Account	50-0815

## EXPRESS MAIL CERTIFICATE

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name	Wilhelm Albert Palmen Jr.	Express Mail No.	EL 563 386 315 US
Signature	<i>Wilhelm Albert Palmen Jr.</i>	Date	September 25, 2000 ✓

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Atty Docket No.

OLIG-017CON

First Named Inventor

Roderic M.K. Dale

Title: "METHOD FOR DETECTING NUCLEIC ACID SEQUENCES"

COPY

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Address to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form2. ☒ Specification Total Pages 48

(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix

- Background of the Invention

- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) Total Sheets 94. ☒ Oath or Declaration Total Sheets 2

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 16 completed)

- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)

c. ☐ UnsignedMicrofiche Computer Program (*Appendix*)Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- 7. ☐ Assignment Papers (cover sheet & document(s))
- 8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
- 9. ☐ English Translation Document (if applicable)
- 10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 11. ☒ Preliminary Amendment
- 12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- 13. ☐ Small Entity ☒ Statement filed in prior application  
Statement(s) Status still proper and desired
- 14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 15. ☒ Other: Application Cover Page

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation of prior application No. 09/385,796 filed August 30, 1999

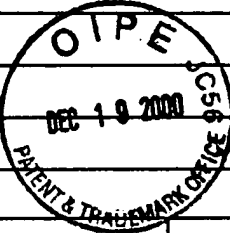
DOCKETED

*filed 9/25/2000*

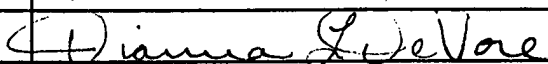


**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new non-provisional applications under 37 CFR 1.53(b))

17. CORRESPONDENCE ADDRESS			
Individual Name	Dianna L. DeVore		
Firm Name	BOZICEVIC, FIELD & FRANCIS LLP		
Address	200 Middlefield Road, Suite 200		
City, State, Zip	Menlo Park, CA 94025		
Country	U.S.A.		
Telephone	(650) 327-3400	Facsimile	(650) 327-3231



**COPY**

SIGNATURE of Applicant or Assignee of Record	
Individual Name	Dianna L. DeVore
Registration No.	42,484
Signature	
Date	September 25, 2000

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